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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/533,321	05/02/2005	Leonard A. Pomeranz	20030016	5294
22500 7590 06/13/2007 BAE SYSTEMS INFORMATION AND ELECTRONIC SYSTEMS INTEGRATION INC.			EXAMINER	
			CARTER, MICHAEL W	
65 SPIT BROOK ROAD P.O. BOX 868 NHQ1-719		ART UNIT	PAPER NUMBER	
	NASHUA, NH 03061-0868		2809	
			MAIL DATE	DELIVERY MODE
			06/13/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)	
	10/533,321	POMERANZ, LEONARD A.	
Office Action Summary	Examiner	Art Unit	
	Michael Carter	2809	
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address	
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 16(a). In no event, however, may a reply be tim rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).	
Status			
Responsive to communication(s) filed on <u>02 Mar</u> This action is <b>FINAL</b> . 2b) ☐ This      Since this application is in condition for allowant closed in accordance with the practice under E	action is non-final. ace except for formal matters, pro		
Disposition of Claims			
4) ☐ Claim(s) 1-18 is/are pending in the application. 4a) Of the above claim(s) is/are withdraw 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-18 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or			
Application Papers			
9) ☐ The specification is objected to by the Examiner  10) ☐ The drawing(s) filed on 02 May 2005 is/are: a) ☐  Applicant may not request that any objection to the of  Replacement drawing sheet(s) including the correction  11) ☐ The oath or declaration is objected to by the Examiner	☑ accepted or b)☐ objected to be drawing(s) be held in abeyance. See on is required if the drawing(s) is obj	e 37 CFR 1.85(a). ected to. See 37 CFR 1.121(d).	
Priority under 35 U.S.C. § 119		•	
12) Acknowledgment is made of a claim for foreign  a) All b) Some * c) None of:  1. Certified copies of the priority documents  2. Certified copies of the priority documents  3. Copies of the certified copies of the prioric application from the International Bureau  * See the attached detailed Office action for a list of	s have been received. s have been received in Applicati ity documents have been receive (PCT Rule 17.2(a)).	on No ed in this National Stage	
Attachment(s)  Notice of References Cited (PTO-892)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	nte	

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#### **DETAILED ACTION**

#### Specification

1. Claim 1 is objected to because of the following informalities: Claim 1 includes "the pump source" in line 3 and lacks strict antecedent basis. Appropriate correction is required.

### Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Claims 1-2,4-5, 7-10, and 17 are rejected under 35 U.S.C. 102(b) as being anticipated by Esterowitz et al. US Patent 4,965,803 (hereinafter referred to as Esterowitz).
- 4. For claim 1, Esterowitz teaches a method of pumping a wide bandwidth optical parametric oscillator to provide mid-IR radiation (column 1, lines 28-31), comprising the step of pumping the optical parametric oscillator with a Thulium laser operating by itself as the pump source (column 1, lines 53-56) for the optical parametric oscillator.
- 5. For claim 2, Esterowitz teaches the Thulium laser utilizes a YAlO<sub>3</sub> host (column 4, lines 1-11). While Esterowitz discloses YAlO instead of YalO<sub>3</sub>, YAlO is an acronym for YAlO<sub>3</sub> (see teaching reference Sheps US Patent 6,404,7085, column 1, lines 50-51). This interpretation is further supported by the specification of the instant application (page 6, lines 10-11).

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6. For claim 4, Esterowitz teaches the Thulium laser is Q-switched (column 1, line 54).

- 7. For claim 5, Esterowitz teaches a method of pumping an optical parametric oscillator without utilizing Holmium, comprising the step of pumping the optical parametric oscillator with a Thulium laser output (column 1, lines 28-31, 53-56).
- 8. For claim 7, Esterowitz teaches A Q-switched laser comprising: a laser cavity; a Thulium crystal within said cavity; and, a Q-switch within said cavity (figure 1).
- 9. For claim 8, Esterowitz teaches the Q-switch includes an acousto-optical Q-switch (column 3, line 26).
- 10. For claim 9, Esterowitz teaches an apparatus for generating infrared radiation, comprising the combination of: a Thulium laser; and, an optical parametric oscillator pumped by said Thulium laser (column 1, lines 28-31,53-56).
- 11. For claim 10, Esterowitz teaches the Thulium laser is a Tm:YAlO<sub>3</sub> laser. (column 2, lines 45-46, and column 4 lines 1-9). As discussed for claim 5, YAlO is a commonly used acronym for YAlO<sub>3</sub>.
- 12. For claim 17, Esterowitz teaches the Thulium laser is selected from the group consisting of YAG, YSGG, YALO, LuAG, YLF, Y<sub>2</sub>O<sub>3</sub>, and YVO<sub>4</sub> Thulium lasers (column 4, lines 13-17).

## Claim Rejections - 35 USC § 103

- 13. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the

invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

- 14. Claim 3, 6, 11, 14, 16, and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Esterowitz, in view of Komine, US patent 6,215,800 (hereinafter referred to as Komine).
- 15. Esterowitz remains applied as above.
- 16. For claims 3, 6, 11, 16, and 18 Esterowitz does not teach the OPO includes a zinc germanium phosphide nonlinear crystal.

However, Komine does teach using a zinc germanium phosphide nonlinear crystal in order to provide a birefringent phase matched DFG material for the infrared region (column 12, lines 36-42).

It would have obvious to one of ordinary skill in the art, at the time the invention was made, to combine Esterowitz's laser and OPO with Komine's zinc germanium phosphide crystal in order to provide a birefringent phase matched DFG material for the infrared region.

17. For claim 14, Esterowitz does not teach the optical parametric oscillator is in the form of a linear resonator.

However, Komine teaches the optical parametric oscillator is in the form of a linear resonator (figure 3) in order to provide gain in the parametric waves (column 1, lines 30-32).

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to combine Esterowitz's device with Komine's linear resonator in order to provide gain in the parametric waves.

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- 18. Claims 12, and 13, and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Esterowitz, in view of Komine, and further in view of Smith et al. US Patent 6,647,033 (hereinafter referred to as Smith).
- 19. For claims 12, 13, and 15, Esterowitz and Komine remain applied as above.
- 20. For claim 12, the combination of Esterowitz and Komine does not teach the optical parametric oscillator is in the form of a ring.

However, Smith does teach the optical parametric oscillator is in the form of a ring (figure 2) where the ring configuration is used in the OPO to improve beam quality (column 3, line 28-30).

It would have been obvious to one of ordinary skill in the art, at the time the invention was made to combine the combination of Esterowitz and Komine, with Smith's configuration in order to improve beam quality.

- 21. For claim 13, Komine further teaches including two ZnGeP<sub>2</sub> non-linear crystals in order to increase interaction length (column 2, line 39-41).
- 22. Claim 15 is rejected under 35 U.S.C. 103(a) as being unpatentable over Esterowitz, in view of Smith.
- 23. For claim 15, Esterowitz does not teach the optical parametric oscillator is doubly resonant.

However, Smith does teach the oscillator is doubly resonant in order to permit oscillation at both the signal and idler frequencies (column 1, lines 27-34).

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It would have been obvious to one of ordinary skill in the art, at the time the invention was made to combine the OPO of Esterowitz, with Smith's doubly resonant cavity in order to permit oscillation at both the signal and idler frequency.

#### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Stewart, US patent, 6,162,213; Esterowitz et al., US patent 5,272,708; and Jin et al. US patent 5,854,802, disclose an OPO with a Thulium laser. Govorkov, US patent 6,044,094, discloses an OPO using multiple ZnGeP<sub>2</sub> crystals.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael Carter whose telephone number is (571) 270-1872. The examiner can normally be reached on Monday-Friday, 7:00 a.m.-4:30 p.m., EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Angela Ortiz can be reached on (571) 272-1206. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Mal

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PRIMARY EXAMINER

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